

PCT REQUEST

20093-28-1PC

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0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.92 (updated 01.04.2003)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	United States Patent and Trademark Office (USPTO) (RO/US)
0-7	Applicant's or agent's file reference	20093-28-1PC
I	Title of invention	TANGENTIAL FLOW FILTRATION DEVICES AND METHODS FOR LEUKOCYTE ENRICHMENT
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	NORTHWEST BIOTHERAPEUTICS, INC.
II-5	Address:	21720 23rd Drive, S.E. Suite 100 Bothell, WA 98021 United States of America
II-6	State of nationality	US
II-7	State of residence	US
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	all designated States
III-1-4	Name (LAST, First)	BOSCH, Marnix L.,
III-1-5	Address:	7814 N.E. 14th Street Medina, WA 98039 United States of America
III-1-6	State of nationality	NL
III-1-7	State of residence	US

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III-2	Applicant and/or inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	all designated States
III-2-4	Name (LAST, First)	HARRIS, Paul C.,
III-2-5	Address:	3022 184th Place S.E. Bothell, WA 98012 United States of America
III-2-6	State of nationality	US
III-2-7	State of residence	US
III-3	Applicant and/or inventor	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	all designated States
III-3-4	Name (LAST, First)	MONAHAN, Steven J.,
III-3-5	Address:	8136 N.E. 174th Place Kenmore, WA 98028 United States of America
III-3-6	State of nationality	US
III-3-7	State of residence	US
III-4	Applicant and/or inventor	
III-4-1	This person is:	applicant and inventor
III-4-2	Applicant for	all designated States
III-4-4	Name (LAST, First)	TURNER, Allen
III-4-5	Address:	11314 25th Avenue N.E. #307 Seattle, WA 98125 United States of America
III-4-6	State of nationality	US
III-4-7	State of residence	US
III-5	Applicant and/or inventor	
III-5-1	This person is:	applicant and inventor
III-5-2	Applicant for	all designated States
III-5-4	Name (LAST, First)	BOYNTON, Alton L.,
III-5-5	Address:	2420 274th Avenue N.E. Redmond, WA 98053 United States of America
III-5-6	State of nationality	US
III-5-7	State of residence	US
III-6	Applicant and/or inventor	
III-6-1	This person is:	applicant and inventor
III-6-2	Applicant for	all designated States
III-6-4	Name (LAST, First)	LODGE, Patricia A.,
III-6-5	Address:	10332 21st Avenue W. Everett, WA 98204 United States of America
III-6-6	State of nationality	US
III-6-7	State of residence	US

IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	POOR, Brian W.,
IV-1-2	Address:	TOWNSEND and TOWNSEND and CREW, LLP Two Embarcadero Center, 8th Floor San Francisco, CA 94111 United States of America
IV-1-3	Telephone No.	(206) 467-9600
IV-1-4	Facsimile No.	(415) 576-0300
IV-1-5	Agent's registration No.	32,928
IV-2	Additional agent(s)	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	PARMELEE, Steven W., (31,990); KEZER, William B., (37,369); SHERBINA, Nicholas V., (P-54,443)
V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT EP: AT BE BG CH&LI CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE SI SK TR and any other State which is a Contracting State of the European Patent Convention and of the PCT OA: BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH&LI CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD SE SG SK SL TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW


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V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	19 June 2002 (19.06.2002)
VI-1-2	Number	60/390,730
VI-1-3	Country	US
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1
VII-1	International Searching Authority Chosen	United States Patent and Trademark Office (USPTO) (ISA/US)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	-
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	-
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	1
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-

VIII-4-1	Declaration: Inventorship (only for the purposes of the designation of the United States of America) Declaration of Inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:	<p>I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.</p> <p>This declaration is directed to the international application of which it forms a part (if filing declaration with application).</p> <p>I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.</p> <p>I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.</p>
VIII-4-1 -1	Prior applications:	60/390,730, US, 19 June 2002 (19.06.2002)

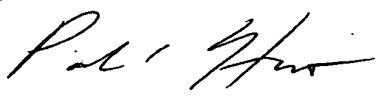
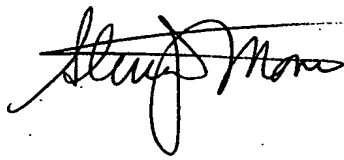
		<p>I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>
VIII-4-1 -1-1	Name:	BOSCH, Marnix L.,
VIII-4-1 -1-2	Residence: (city and either US State, if applicable, or country)	Medina, Washington
VIII-4-1 -1-3	Mailing address:	7814 N.E. 14th Street
VIII-4-1 -1-4	Citizenship:	NL
VIII-4-1 -1-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	
VIII-4-1 -1-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	07 July 03

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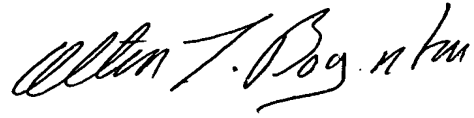
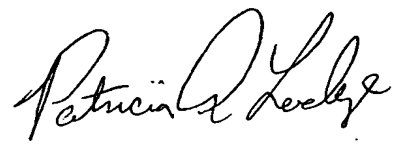
VIII-4-1 -2-1 VIII-4-1 -2-2 VIII-4-1 -2-3 VIII-4-1 -2-4 VIII-4-1 -2-5 VIII-4-1 -2-6	Name: Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the International application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the International application)	HARRIS, Paul C., Bothell, Washington 3022 184th Place S.E. US  Aug 5, 2003
VIII-4-1 -3-1 VIII-4-1 -3-2 VIII-4-1 -3-3 VIII-4-1 -3-4 VIII-4-1 -3-5 VIII-4-1 -3-6	Name: Residence: (city and either US State, if applicable, or country) Mailing address: Citizenship: Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the International application. The signature must be that of the inventor, not that of the agent) Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the International application)	MONAHAN, Steven J., Kenmore, Washington 8136 N.E. 174th Place US  Aug 6, 2003

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VIII-4-1 -4-1	Name:	TURNER, Allen
VIII-4-1 -4-2	Residence: (city and either US State, if applicable, or country)	Seattle, Washington
VIII-4-1 -4-3	Mailing address:	11314 25th Avenue N.E. #307
VIII-4-1 -4-4	Citizenship:	US
VIII-4-1 -4-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	 7/28/03
VIII-4-1 -4-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	
VIII-4-1 -5-1	Name:	BOYNTON, Alton L.,
VIII-4-1 -5-2	Residence: (city and either US State, if applicable, or country)	Redmond, Washington
VIII-4-1 -5-3	Mailing address:	2420 274th Avenue N.E.
VIII-4-1 -5-4	Citizenship:	US
VIII-4-1 -5-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	 7/8/03
VIII-4-1 -5-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	
VIII-4-1 -6-1	Name:	LODGE, Patricia A.,
VIII-4-1 -6-2	Residence: (city and either US State, if applicable, or country)	Everett, Washington
VIII-4-1 -6-3	Mailing address:	10332 21st Avenue W.
VIII-4-1 -6-4	Citizenship:	US
VIII-4-1 -6-5	Inventor's Signature: (If not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	 7/8/2003
VIII-4-1 -6-6	Date: (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)	

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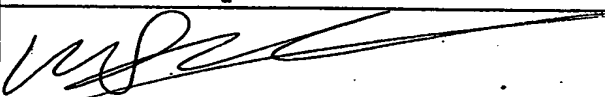
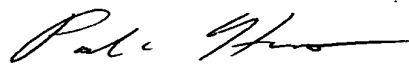
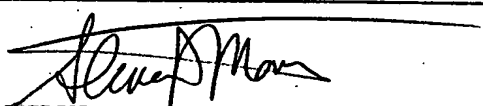

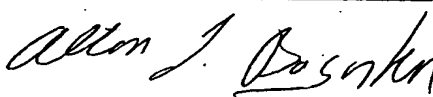
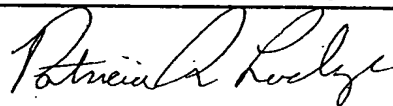
IX	Check list	number of sheets	electronic file(s) attached
IX-1	Request (including declaration sheets)	10	-
IX-2	Description	35	-
IX-3	Claims	9	-
IX-4	Abstract	1	EZABST00.TXT
IX-5	Drawings	11	-
IX-7	TOTAL	66	
	Accompanying Items	paper document(s) attached	electronic file(s) attached
IX-8	Fee calculation sheet	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-18	Other (specified):	Postcard	-
IX-18	Other (specified):	Transmittal	-
IX-19	Figure of the drawings which should accompany the abstract	1	
IX-20	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	<i>Alton L. Boynton</i>	
X-1-1	Name	NORTHWEST BIOTHERAPEUTICS, INC.	
X-1-2	Name of signatory	Alton L. Boynton <i>President</i>	
X-2	Signature of applicant, agent or common representative		
X-2-1	Name (LAST, First)	BOSCH, Marnix L.,	
X-3	Signature of applicant, agent or common representative		
X-3-1	Name (LAST, First)	HARRIS, Paul C.,	
X-4	Signature of applicant, agent or common representative		
X-4-1	Name (LAST, First)	MONAHAN, Steven J.,	
X-5	Signature of applicant, agent or common representative		
X-5-1	Name (LAST, First)	TURNER, Allen	
X-6	Signature of applicant, agent or common representative	<i>Alton L. Boynton</i>	
X-6-1	Name (LAST, First)	BOYNTON, Alton L.,	
X-7	Signature of applicant, agent or common representative		
X-7-1	Name (LAST, First)	LODGE, Patricia A.,	

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IX -	Check list	number of sheets	electronic file(s) attached
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IX-8	Fee calculation sheet	✓	-
IX-17	PCT-EASY diskette	-	Diskette
IX-18	Other (specified):	Postcard	-
IX-18	Other (specified):	Transmittal	-
IX-19	Figure of the drawings which should accompany the abstract	1	
IX-20	Language of filing of the International application	English	
X-1	Signature of applicant, agent or common representative		
X-1-1	Name	NORTHWEST BIOTHERAPEUTICS, INC.	
X-1-2	Name of signatory	Alton L. Boynton	
X-2	Signature of applicant, agent or common representative		
X-2-1	Name (LAST, First)	BOSCH, Marnix L.,	
X-3	Signature of applicant, agent or common representative		
X-3-1	Name (LAST, First)	HARRIS, Paul C.,	
X-4	Signature of applicant, agent or common representative		
X-4-1	Name (LAST, First)	MONAHAN, Steven J.,	
X-5	Signature of applicant, agent or common representative		
X-5-1	Name (LAST, First)	TURNER, Allen	
X-6	Signature of applicant, agent or common representative		
X-6-1	Name (LAST, First)	BOYNTON, Alton L.,	
X-7	Signature of applicant, agent or common representative		
X-7-1	Name (LAST, First)	LODGE, Patricia A.,	

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10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/US
10-6	Transmittal of search copy delayed until search fee is paid	

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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